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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MAZOTTI et al.

Attorney Docket No.:
NSC1P271/P05589

Application No.: 10/621,035

Examiner: SONG, Sarah U.

Filed: July 15, 2003

Group: 2874

Title: OPTO-ELECTRONIC MODULE FORM
FACTOR HAVING ADJUSTABLE OPTICAL
PLANE HEIGHT

Confirmation No.: 1188

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on November 14, 2005 in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Signed: _____

Sue Funchess
Sue Funchess

**INFORMATION DISCLOSURE STATEMENT
BEFORE FINAL ACTION OR NOTICE OF ALLOWANCE
(37 CFR §§ 1.56 AND 1.97(c))**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449, a copy of which is attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this application, whichever event occurred last, but it is believed before the mailing date of either: (i) a final action under §1.113 or (ii) a notice of allowance under §1.311, whichever occurs first.

Atty. Dkt. No. NSC1P271/P05589

App. No. 10/621,035

Accompanying this Information Disclosure Statement is

- ☐ a statement as specified in 37 CFR 1.97(e); or
☒ the fee set forth in 37 CFR 1.17(p).

[37 CFR §1.97(e) Statement, if relied upon]

The undersigned hereby states:

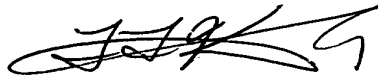
☐ that each item of information contained in the Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application no more than three months prior to the filing of the Information Disclosure Statement; or

☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

If fees are due, enclosed is our Check No. 28181 for \$180.00 in payment of the Information Disclosure Statement Fee. If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0388 (Order No. NSC1P271).

Respectfully submitted,

BEYER WEAVER & THOMAS, LLP



Francis T. Kalinski II
Registration No. 44,177

P.O. Box 70250
Oakland, CA 94612-0250
Telephone: (650) 961-8300



Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No. NSC1P271/P05589 Applicant: MAZOTTI et al. Filing Date July 15, 2003	Application No.: 10/621,035 Group 2874
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U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A	4,152,711	05/01/79	Nakata			03/25/77
	B	5,076,688	12/31/91	Bowen et al.			05/30/91
	C	5,325,455	06/28/94	Henson et al.			10/21/92
	D	5,535,296	07/09/96	Uchida			09/28/94
	E	5,768,456	06/16/98	Knapp et al.			11/22/96
	F	5,896,479	04/20/99	Vladic			04/09/97
	G	5,933,558	08/03/99	Sauvageau et al.			05/22/97
	H	6,030,246	02/29/00	Kunishi			08/31/98
	I	6,086,263	07/11/00	Selli et al.			06/13/96
	J	6,239,427B1	05/29/01	Mizue			12/02/98
	K	6,356,686B1	03/12/02	Kuczynski			09/03/99
	L	6,599,033B1	07/29/03	Pohnke			10/30/00
	M	6,702,480B1	03/09/04	Sparacino			06/02/01
	N	6,916,121B2	07/12/05	Mazotti et al.			06/06/02
	O	2002/0136502A	09/26/02	Bachl et al.			11/30/00
	P	2003/0201462A	10/20/03	Pommer et al.			05/15/02
	Q	2004/0091208A	05/13/04	Doi			11/12/02

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.